

# Continued fractions in local fields and nested automorphisms

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# Introduction

## Goals

- ▶ **First aim:** show the various ways (the ones already known and some new one) available to represent  $p$ -adic numbers (and more generally the elements of a local field).
- ▶ We will see, among others, the  $p$ -adic analogue of classical continued fractions as a particular case of *Nested Automorphism* and the *Approximation Lattices*.
- ▶ We will also generalize in these cases, when it is possible, the classical theorems for real continued fractions.
- ▶ **Second aim:** exploit the structure of the  $p$ -adic integers  $\mathbb{Z}_p$ , more specifically of the torsion part of its multiplicative group, in order to connect the continued fractions, and also the approximation lattices, to the important theory of cyclotomic fields.

# Introduction

## Previous works

- ▶ The classical theory of continued fractions have a wide literature that can be easily found.
- ▶ Continued fractions in local fields have been studied in the papers of **J. Browkin**, where he refers to the two main known  $p$ -adic definitions: one from **Schneider**, one from **Ruban**.
- ▶ Approximation lattices can be found in the work of **De Weger**.
- ▶ The part dealing with the continued fractions in function fields refers to three papers with main authors respectively **Alf. J. van Der Poorten**, **T. G. Berry** and **W. M. Schmidt**.

# Introduction

Continued fractions: most general definition

- ▶ A **Continued Fraction** in a field  $\mathbb{K}$ , given an element  $x \in \mathbb{K}$ , is an expression of the form:

$$x = a_0 + \frac{b_1}{a_1 + \frac{b_2}{a_2 + \dots}}$$

where the  $a_i$  and  $b_i$  are elements of  $\mathbb{K}$ .

- ▶ More specifically  $a_i \in A \subset \mathbb{K}$  for some chosen subset  $A$  which should give good approximations for the elements of the field.
- ▶ In the special case when all  $b_i = 1$  one usually writes  $x = [a_0, a_1, \dots]$  (this list can be either finite or infinite).

# Introduction

## Real continued fractions

- ▶ The classical real case of continued fractions is when  $\mathbb{K} = \mathbb{R}$ ,  $A = \mathbb{Z}$  and all  $b_i = 1$ ; the  $a_i$  are  $> 0$  for  $i > 0$ .
- ▶ In this case, finite continued fractions correspond exactly to **rational numbers**.
- ▶ There are exactly two different continued fractions for each rational number; we may restrict to finite continued fractions where the last  $a_i$  is  $> 1$ , with the exception of  $x = [1]$ , obtaining a **bijection between continued fractions and real numbers** that can be explicitated via **the integral part algorithm**.

# Introduction

## Real continued fractions

- ▶ **Lagrange's theorem:** the continued fraction of  $x \in \mathbb{R}$  is infinite periodic if and only if  $x$  is an algebraic irrational number of degree 2.
- ▶ To every integer  $a \in \mathbb{Z}$  one associates a **matrix**  $\hat{a} \in \mathbb{Z}^{2 \times 2}$  of determinant  $-1$  so that, considering such matrices as automorphisms of  $\mathbb{P}^1(\mathbb{R}) \supset \mathbb{R}$ , we have  $\hat{a}_0[a_1, a_2, \dots] = [a_0, a_1, a_2, \dots]$ .
- ▶ Given a positive rational number  $d \in \mathbb{Q}$  that is not a square, the continued fraction of  $\sqrt{d}$  is of the form  $[a_0, \overline{a_1, a_2, \dots, a_2, a_1}, 2a_0]$ . This result is strongly connected with **Pell's equation**  $a^2 - b^2d = \pm 1$ .
- ▶ The real continued fraction is also related to **diophantine linear equations** and **Euclid's algorithm** for division.

# Introduction

## Real continued fractions

- ▶ We give a simple application of the continued fractions in the real case, using **Dirichlet's lemma** (let  $\xi, Q \in \mathbb{R}$ ,  $Q > 1$ ; then  $\exists p, q \in \mathbb{Z}$ ,  $0 < q < Q$  such that  $|p - q\xi| \leq \frac{1}{Q}$ ).
- ▶ Let  $n \in \mathbb{N}$ ,  $n > 1$  and let also  $b \in \mathbb{N}$ ,  $b > 1$ ; then  $\forall m \in \mathbb{N}$   $\exists k_m \in \mathbb{N}$  s.t.  $n^{k_m}$  has at least  $m + 1$  base  $b$  digits, the first ones of which are 1 followed by  $m$  zeroes. Moreover  $k_m$  can be found via some continued fraction expansion.
- ▶ For instance in standard decimal notation  $2^{10} = 1024$  which is very close to a power of 10.
- ▶ Another less known example is  $3^{21} = 10460353203$ .

# Structure of $\mathbb{Z}_p^\times$

## Exponential and Logarithm

- ▶ We have the following power series:

$$\exp(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

$$\log\left(\frac{1}{1-x}\right) = \sum_{n=1}^{\infty} \frac{x^n}{n}.$$

- ▶  $\exp(x)$  converges for  $x \in q\mathbb{Z}_p$ .
- ▶  $\log(y)$  converges for  $y \in 1 + p\mathbb{Z}_p$ .
- ▶ The maps  $\exp$  and  $\log$  are inverse to each other and give a group isomorphism  $(q\mathbb{Z}_p)^+ \cong (1 + q\mathbb{Z}_p)^\times$ .



## Structure of $\mathbb{Z}_p^\times$

- ▶ **Hensel's lemma** gives a primitive  $\varphi(q)$ -th root of unit  $\xi$  which modulo  $q$  is a generator of  $(\mathbb{Z}/q\mathbb{Z})^\times$ .
- ▶  $\mathbb{Z}_p^\times \cong \mathbb{Z}_p^+ \times \mathbb{Z}/\varphi(q)\mathbb{Z}$ .
- ▶  $[x] = \xi^{\pi_2(x)} = \lim_{k \rightarrow \infty} x^{p^k}$ .
- ▶ The automorphism group of  $\mathbb{Z}_p^\times$  is isomorphic to  $\mathbb{Z}_p^\times \times (\mathbb{Z}/\varphi(q)\mathbb{Z})^\times \cong \mathbb{Z}_p^+ \times \mathbb{Z}/\varphi(q)\mathbb{Z} \times (\mathbb{Z}/\varphi(q)\mathbb{Z})^\times$ .

# Number fields

## Theory requirements

- ▶ We recall the definition of algebraic and finite field extensions and integral ring extensions and their properties.
- ▶ A number field  $\mathbb{K}$  is a finite extension of  $\mathbb{Q}$ . Its ring of integers  $\mathbb{Z}_{\mathbb{K}}$  is the integral closure of  $\mathbb{Z}$  in  $\mathbb{K}$ .
- ▶ We recall the definition of trace, norm and discriminant for a given number field extension and their properties.

# Number fields

## Classical results

- ▶ Let  $m \in \mathbb{Z}$ ,  $m \neq 0, 1$  and squarefree. Then we may consider the **quadratic extension**  $\mathbb{Q}[\sqrt{m}] \supset \mathbb{Q}$ .
- ▶  $\mathbb{Z}_{\mathbb{Q}[\sqrt{m}]} = \mathbb{Z}[\omega]$  where  $\omega = \sqrt{m}$  for  $m \equiv 2, 3 \pmod{4}$  and  $\omega = \frac{1+\sqrt{m}}{2}$  for  $m \equiv 1 \pmod{4}$ .
- ▶ Let  $k \in 2\mathbb{Z}$ ,  $k > 0$ . Then we may consider the **cyclotomic extension**  $\mathbb{Q}[\zeta_k] \supset \mathbb{Q}$ , where  $\zeta_k$  is a primitive  $k$ -th root of unity.
- ▶  $\mathbb{Z}_{\mathbb{Q}[\zeta_k]} = \mathbb{Z}[\zeta_k]$ .

# Number fields

## Quadratic extensions of cyclotomic fields

- ▶ Let  $D \in \mathbb{Z}[\zeta_k]$ ,  $D \neq 0$ ,  $D \notin (\mathbb{Z}[\zeta_k]^\times)^2$  and  $D$  squarefree (i.e. not divisible by a non-unit square). Then  $\mathbb{Q}[\zeta_k, \sqrt{D}]$  is the generic quadratic extension of the cyclotomic field  $\mathbb{Q}[\zeta_k]$ . The element  $D$  can be changed multiplying by the square of a unit.
- ▶ Let  $R$  be any Dedekind domain (for our purposes,  $R$  will be  $\mathbb{Z}[\zeta_k]$ ) and  $x, y \in R$ . Then  $x \equiv y \pmod{2}$  if and only if  $x^2 \equiv y^2 \pmod{4}$ .
- ▶ Let  $x \in \mathbb{K} = \mathbb{Q}[\zeta_k, \sqrt{D}]$ . Then  $x \in \mathbb{Z}_{\mathbb{K}}$  if and only if  $\text{Tr}_{\mathbb{Q}[\zeta_k]}^{\mathbb{K}}(x) \in \mathbb{Z}[\zeta_k]$  and  $\text{N}_{\mathbb{Q}[\zeta_k]}^{\mathbb{K}}(x) \in \mathbb{Z}[\zeta_k]$ .

# Number fields

## Quadratic extensions of cyclotomic fields

- ▶ **Characterization theorem:** given  $x \in \mathbb{K} = \mathbb{Q}[\zeta_k, \sqrt{D}]$ ,  
 $x \in \mathbb{Z}_{\mathbb{K}}$  if and only if it is of the form  $\frac{a+b\sqrt{D}}{2}$  with  $b \in \mathbb{Q}[\zeta_k]$ ,  
 $a, b^2D \in \mathbb{Z}[\zeta_k]$  and  $a^2 \equiv b^2D \pmod{4}$ . More precisely:
  - ▶ If  $D$  is also *ideal-squarefree*, i.e., there is no ideal  $I$  such that  $I^2 \mid (D)$ , then  $b^2D \in \mathbb{Z}[\zeta_k]$  is equivalent to  $b \in \mathbb{Z}[\zeta_k]$ .
  - ▶ If  $D \equiv d^2 \pmod{4}$  (or equivalently  $\sqrt{D} \equiv d \pmod{2}$ ) for some  $d \in \mathbb{Z}[\zeta_k]$ , then  $x \in \mathbb{Z}_{\mathbb{K}}$  if and only if it is of the form  $\frac{a+b\sqrt{D}}{2}$  with  $b \in \mathbb{Q}[\zeta_k]$ ,  $a, b^2D \in \mathbb{Z}[\zeta_k]$  and  $a \equiv bd \pmod{2}$ .
  - ▶ If  $(2, D) = (1)$  and  $D$  is not a quadratic residue modulo 4 then  $x \in \mathbb{Z}_{\mathbb{K}}$  if and only if it is of the form  $a' + b'\sqrt{D}$  with  $b' \in \mathbb{Q}[\zeta_k]$ ,  $a', b'^2D \in \mathbb{Z}[\zeta_k]$ .

# Number fields

## Quadratic extensions of $\mathbb{Q}[i]$

- ▶ When  $D \equiv 1 \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[i]$ -module with basis  $\left\langle 1, \frac{1+\sqrt{D}}{2} \right\rangle$ .
- ▶ When  $D \equiv 3 \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[i]$ -module with basis  $\left\langle 1, \frac{i+\sqrt{D}}{2} \right\rangle$ .
- ▶ When  $D \equiv i, 2+i, 1+2i, 3+2i, 3i, 2+3i \pmod{4}$ , i.e.  $D$  is coprime to 2 and quadratic non-residue modulo 4, and when  $D \equiv 1+i, 3+i, 1+3i, 3+3i \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[i]$ -module with basis  $\left\langle 1, \sqrt{D} \right\rangle$ .
- ▶ We don't consider the cases  $D \equiv 0, 2, 2i, 2+2i \pmod{4}$  in which  $D$  cannot be squarefree ( $(1+i)^2 | D$ ).

## Number fields

Quadratic extensions of  $\mathbb{Q}[\omega]$  ( $\omega = \zeta_6 = \frac{1+i\sqrt{3}}{2}$ )

- ▶ When  $D \equiv 1 \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[\omega]$ -module with basis  $\left\langle 1, \frac{1+\sqrt{D}}{2} \right\rangle$ .
- ▶ When  $D \equiv 3 + \omega \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[\omega]$ -module with basis  $\left\langle 1, \frac{\omega+\sqrt{D}}{2} \right\rangle$ .
- ▶ When  $D \equiv 3\omega \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[\omega]$ -module with basis  $\left\langle 1, \frac{1+\omega+\sqrt{D}}{2} \right\rangle$ .
- ▶ When  $D \equiv 3, \omega, 1 + \omega, 2 + \omega, 1 + 2\omega, 3 + 2\omega, 1 + 3\omega, 2 + 3\omega, 3 + 3\omega \pmod{4}$  and when  $D \equiv 2, 2\omega, 2 + 2\omega \pmod{4}$ ,  $\mathbb{Z}_{\mathbb{K}}$  is a free  $\mathbb{Z}[\omega]$ -module with basis  $\left\langle 1, \sqrt{D} \right\rangle$ .
- ▶ We don't consider the case  $D \equiv 0 \pmod{4}$  in which  $D$  cannot be squarefree.

# Nested Automorphisms

## Definition

- ▶ Let  $\phi$  be  $\pm$  an automorphism of  $\mathbb{Z}_p^\times$ .
- ▶ Let  $A$  be a set of representatives modulo  $p$  containing 0 (for instance  $0, 1, \dots, p-1$ ), that we may suppose algebraic over  $\mathbb{Q}$  and integral over  $\mathbb{Z}$ .
- ▶ Let  $x \in \mathbb{Z}_p^\times$ .
- ▶ If  $x \in A$  we write  $x = [a, 0, 0, \dots]$ ; otherwise we can write uniquely  $x = a + p^k \phi(y)$  with  $a \in A \setminus \{0\}$ ,  $k \in \mathbb{N}$ ,  $y \in \mathbb{Z}_p^\times$ ; then we write  $x = [a, 0, \dots, 0, y]$  where between  $a$  and  $y$  there are exactly  $k-1$  zeroes.



# Nested Automorphisms

Case  $\phi(x) = x$

- ▶ The case when  $\phi(x) = x$  is the usual power series expansion.
- ▶ Let's see a simple result in the case of cyclotomic residues.
- ▶ Suppose  $p > 2$ , let  $x \in \mathbb{Z}[\omega]$ , and let us write  $x = [a_0, \dots]$  as in the former definition, setting  $A = \{0\} \cup \{(p-1)\text{-th roots of unity}\}$  and  $\phi(x) = x$ ; then this expression is either finite or non-periodic.
- ▶ In the case  $p = 2$  the same result holds for positive integers, while negative ones always end with a period  $[1, 1, 1, \dots]$ .

# Nested Automorphisms

## Algorithms

- ▶ Let  $x \in \mathbb{Z}_p$  and  $k \in \mathbb{N}$ , suppose  $x - y \in p^k \mathbb{Z}_p$  and let  $\phi$  be an automorphism; then  $\phi(x) - \phi(y) \in p^k \mathbb{Z}_p$ .
- ▶ Let  $x, y \in \mathbb{Z}_p^\times$  and let us fix  $A$  and  $\phi$  as before; then  $\forall k \in \mathbb{N}$   $x - y \in p^k \mathbb{Z}_p$  iff  $x = [a_0, a_1, \dots]$ ,  $y = [b_0, b_1, \dots]$  and  $a_0 = b_0, \dots, a_{k-1} = b_{k-1}$ .
- ▶ We may use the usual base  $p$  algorithms to determine uniquely the first  $k$  digits of any such expression knowing the last  $k$  digits of the base  $p$  expression and vice versa.

## Other expressions

- ▶ Product expression:  $x = \prod_{n=0}^{\infty} (1 + b_n p^{r_n})$ .
- ▶ Continued Exponentials:  $x = a \exp(p^k y)$ .
- ▶ Approximation Lattices: we'll analyze this in detail.
- ▶ Cyclotomic Approximation Lattices: we'll analyze this in detail.

# Other expressions

## Approximation Lattices

- ▶ Let  $x = \sum_{i=0}^{\infty} c_i p^i \in \mathbb{Z}_p$  and let  $x_k = \sum_{i=0}^k c_i p^i$ .
- ▶ Its **sequence of Approximation Lattices (AL)**  $\{\Lambda_k\}_{k \in \mathbb{N}}$  is defined as:

$$\begin{aligned}\Lambda_k &= \{(a, b) \in \mathbb{Z}^2 \mid v_p(a - bx) \geq k\} = \\ &= \{(a, b) \in \mathbb{Z}^2 \mid a \equiv bx \pmod{p^k}\}.\end{aligned}$$

- ▶ The sequence of AL has the following properties:
  - ▶  $\Lambda_k$  is a lattice of rank 2 in  $\mathbb{Z}^2$ .
  - ▶  $\Lambda_0 = \mathbb{Z}^2$ ,  $\Lambda_{k+1} \subset \Lambda_k$ ,  $\#(\Lambda_k/\Lambda_{k+1}) = p$ .
  - ▶ A basis for  $\Lambda_k$  is:  $\begin{pmatrix} p^k \\ 0 \end{pmatrix}, \begin{pmatrix} x_k \\ 1 \end{pmatrix}$ .

# Other expressions

## Approximation Lattices

- ▶ Suppose that a sequence of lattices of rank 2  $\mathbb{Z}^2 = \Lambda_0 \supset \Lambda_1 \supset \dots$  has the following properties:
  - ▶  $\#(\Lambda_k/\Lambda_{k+1}) = p$  (we say that it *has index p*).
  - ▶  $\Lambda_{k+2} \neq p\Lambda_k$  (we say that it is *irreducible*).
  - ▶ A basis  $\begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \begin{pmatrix} \gamma \\ \delta \end{pmatrix}$  for  $\Lambda_1$  (and then also every basis) is such that  $(\beta, \delta) = 1$  (notice that  $\alpha\delta - \beta\gamma = \pm p$ , so  $(\beta, \delta) = 1$  or  $p$ ).
- ▶ Then  $\exists! x \in \mathbb{Z}_p$  such that  $\{\Lambda_k\}_{k \in \mathbb{N}}$  is its sequence of approximation lattices; more precisely,  $\Lambda_k$  has a basis of the form  $\begin{pmatrix} p^k \\ 0 \end{pmatrix}, \begin{pmatrix} x_k \\ 1 \end{pmatrix}$  with  $x_k \in \{0, \dots, p^k - 1\}$  and  $x = \lim_{k \rightarrow \infty} x_k$ .

# Other expressions

## Approximation Lattices

- ▶ We say that a sequence of AL is *periodic* if  $\exists h \in \mathbb{N} \setminus \{0\}$ ,  $k_0 \in \mathbb{N}$  and a linear mapping  $\Xi : \mathbb{Q}^2 \rightarrow \mathbb{Q}^2$  such that  $\Xi(\Lambda_k) = \Lambda_{k+h}$  whenever  $k \geq k_0$ .
- ▶ Periodicity of some continued fraction expansion of  $x \in \mathbb{Z}_p$  implies periodicity of the sequence of AL.
- ▶ An element  $x \in \mathbb{Z}_p$  has periodic sequence of AL if and only if it is rational or quadratic over  $\mathbb{Q}$ .

# Other expressions

## Cyclotomic Approximation Lattices

- ▶ Let us fix an embedding  $\mathbb{Q}(\zeta_{p-1}) \subseteq \mathbb{Q}_p$  and set  $p\mathbb{Z}_p \cap \mathbb{Z}[\zeta_{p-1}] = (p, \phi)$ .
- ▶ We define the **sequence of cyclotomic approximation lattices** (CAL) of  $x \in \mathbb{Z}_p$  as:

$$\Lambda_k = \{ (a, b) \in \mathbb{Z}[\zeta_{p-1}]^2 \mid v_p(a - bx) \geq k \}.$$

- ▶ The sequence of CAL has the following properties:
  - ▶  $\Lambda_k$  is a lattice in  $\mathbb{Z}[\zeta_{p-1}]^2$ .
  - ▶  $\Lambda_0 = \mathbb{Z}[\zeta_{p-1}]^2$ ,  $\Lambda_{k+1} \subset \Lambda_k$ ,  $\#(\Lambda_k/\Lambda_{k+1}) = p$ .
  - ▶ A set of generators for  $\Lambda_k$  is:  $\begin{pmatrix} p^k \\ 0 \end{pmatrix}, \begin{pmatrix} \phi^k \\ 0 \end{pmatrix}, \begin{pmatrix} x_k \\ 1 \end{pmatrix}$ .

# Other expressions

## Cyclotomic Approximation Lattices

- ▶ Suppose that a sequence of lattices  $\mathbb{Z}[\zeta_{p-1}]^2 = \Lambda_0 \supset \Lambda_1 \supset \dots$  has the following properties:
  - ▶  $\#(\Lambda_k/\Lambda_{k+1}) = p$  (we say that it *has index*  $p$ ).
  - ▶  $\forall k \in \mathbb{N}$  holds  $\Lambda_k \cap \mathbb{Z}[\zeta_{p-1}] \times \{0\} = (p, \phi)^k \times \{0\}$ .
- ▶ Then  $\exists! x \in \mathbb{Z}_p$  such that  $\{\Lambda_k\}_{k \in \mathbb{N}}$  is its sequence of approximation lattices; more precisely,  $\Lambda_k$  has a set of generators of the form  $\begin{pmatrix} p^k \\ 0 \end{pmatrix}, \begin{pmatrix} \phi^k \\ 0 \end{pmatrix}, \begin{pmatrix} x_k \\ 1 \end{pmatrix}$  with  $x_k \in \{0, \dots, p^k - 1\}$  and  $x = \lim_{k \rightarrow \infty} x_k$ .



# Other expressions

## Cyclotomic Approximation Lattices

- ▶ We say that a sequence of CAL is *periodic* (of period  $h$ ) if  $\exists h \in \mathbb{N} \setminus \{0\}$ ,  $k_0 \in \mathbb{N}$  and a linear mapping  $\Xi : \mathbb{Q}(\zeta_{p-1})^2 \rightarrow \mathbb{Q}(\zeta_{p-1})^2$  such that  $\Xi(\Lambda_k) = \Lambda_{k+h}$  whenever  $k \geq k_0$ .
- ▶ An element  $x \in \mathbb{Z}_p$  has periodic sequence of CAL if and only if it is rational or quadratic (with a specific condition on discriminant) over  $\mathbb{Q}(\zeta_{p-1})$ .

## Continued Fractions in $\mathbb{Q}_p$

### Definition

- ▶ Let us consider the following standard set of representatives modulo  $p$ :  $A = \{0\} \cup \{\zeta_{p-1}^j\}_{j=1, \dots, p-1}$
- ▶ To every sequence  $[a_0, a_1, \dots]_p \in A^{\mathbb{N}}$  with  $a_0 \neq 0$  we may associate a unique element  $x \in \mathbb{Z}_p^\times$  and vice versa in the following way:

$$x = a_0 - \frac{p^k}{[a_k, a_{k+1}, a_{k+2}, \dots]_p}$$

where  $k$  is the smallest integer  $> 0$  (possibly  $+\infty$ ) such that  $a_k \neq 0$ .

- ▶ The expression  $x = [a_0, a_1, \dots]_p$  will be referred to as the **standard continued expression of  $x$** .

# Continued Fractions in $\mathbb{Q}_p$

## Definition

- ▶ This definition is very similar to **Schneider's** one, and both are particular cases of Nested Automorphisms.
- ▶ Notice that  $a_0 = [x]$ , justifying the name of integral part in analogy with the real continued fractions.
- ▶ If  $A$  is another set of residue classes containing zero, we may write  $x$  as continued fraction in the same way, but in this case we won't call it the standard expression, and we'll write it as  $x = [a_0, a_1, \dots]_{p,A}$ .

## Continued Fractions in $\mathbb{Q}_p$

### Definition

- ▶ We furthermore set:

$$[0, a_1, a_2, \dots]_{p,A} = \frac{[a_1, a_2, \dots]_{p,A}}{p}$$

so that we always have  $\forall a_0 \neq 0 : x = a_0 - \frac{p}{[a_1, a_2, \dots]_{p,A}}$ .

- ▶ Moreover, we may also use Schneider's notation:

$$\begin{aligned} [a_0, a_1, a_2, a_3, a_4, \dots] &= \\ &= [b_1, \dots, b_2, \dots, b_3, \dots] = \\ &= \begin{bmatrix} b_1, & b_2, & b_3, & \dots \\ k_1, & k_2, & k_3, & \dots \end{bmatrix} \end{aligned}$$

where in the second row there are exactly  $k_i - 1$  zeroes after each  $b_i \neq 0$ .

# Continued Fractions in $\mathbb{Q}_p$

## Matrices

- ▶ Let  $R$  be the ring of algebraic integers.
- ▶ Fixed  $k \in \mathbb{Z}$  ( $k \neq 0$ ) we define a  $k$ -**matrix** as a  $2 \times 2$  matrix with coefficients in  $R$  where the second column is divisible by  $k$ ; the **base matrix** of a  $k$ -matrix is the matrix obtained from it dividing the second column by  $k$ .
- ▶ We also define the  $k$ -**transpose** of a  $k$ -matrix  $M$  as the  $k$ -matrix with base matrix given by the transpose of the base matrix of  $M$ , and analogously the **Hermitian  $k$ -transpose**.
- ▶ A  $k$ -matrix is said  $k$ -**symmetric (or  $k$ -Hermitian)** if it coincides with its (Hermitian)  $k$ -transpose, i.e. if its base matrix is symmetric (or Hermitian).
- ▶ Notice that the product of two or more  $k$ -matrices is still a  $k$ -matrix.

# Continued Fractions in $\mathbb{Q}_p$

## Matrices

- ▶ We may associate to each element  $a \in A$  a  $(-p)$ -symmetric  $(-p)$ -matrix of determinant  $p$  in the following way:

- ▶  $0 \longrightarrow \widehat{0} = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ .

- ▶  $a \longrightarrow \widehat{a} = \begin{pmatrix} a & -p \\ 1 & 0 \end{pmatrix}$ .

- ▶ Considering matrices as automorphisms of  $\mathbb{P}^1(\mathbb{Q}_p)$  and  $\mathbb{Q}_p$  embedded in the projective line, we may write:

$$[a_0, a_1, \dots]_{p,A} = \widehat{a}_0[a_1, \dots]_{p,A}.$$

- ▶ It's easy to see that a finite product of them is a  $(-p)$ -matrix  $M$  that we may write as:

$$M = \begin{pmatrix} \lambda & -p\mu \\ \nu & -p\xi \end{pmatrix} = \widehat{a}_1 \widehat{a}_2 \dots \widehat{a}_h.$$

## Continued Fractions in $\mathbb{Q}_p$

### Matrices

- ▶  $\det(M) = p^h$ ,  $\lambda$  is invertible modulo  $p$ ,  $p^{-k}\nu$  (if exactly the first  $k$  matrices of the product are  $\widehat{0}$ ) is invertible modulo  $p$ ,  $p^{-k}\mu$  (if exactly the last  $k$  matrices of the product are  $\widehat{0}$ ) is invertible modulo  $p$  (also in the ring  $\mathbb{Z}[A]$ ).
- ▶ The matrix elements have the following quotients:

$$\lambda/\nu = [a_1, \dots, a_{h-1}, a_h]_{p,A}$$

$$\mu/\xi = [a_1, \dots, a_{h-1}]_{p,A} \text{ if } a_h \neq 0$$

$$\lambda/\mu = [a_h, \dots, a_2, a_1]_{p,A}$$

$$\nu/\xi = [a_h, \dots, a_2]_{p,A} \text{ if } a_1 \neq 0.$$

Also, numerator and denominator of these fractions are coprime in the ring  $\mathbb{Z}[A]$ .

# Continued Fractions in $\mathbb{Q}_p$

## Matrices

- ▶ The  $(-p)$ -transpose of  $M$  is exactly the matrix obtained reversing the order of the factors in the product.
- ▶ If  $A$  is closed by complex conjugation, the Hermitian  $(-p)$ -transpose of  $M$  is exactly the matrix obtained reversing the order of the factors in the product and taking their complex conjugates.



# Continued Fractions in $\mathbb{Q}_p$

## Recurrence

- ▶ Let  $x = \left[ \begin{array}{cccc} b_0, & b_1, & b_2, & \dots \\ k_0, & k_1, & k_2, & \dots \end{array} \right]$ .
- ▶ We may approximate  $x \cong \frac{x_i}{y_i}$  using the following recurrence sequences:

$$x_0 = 1$$

$$x_1 = b_0$$

$$x_{i+1} = b_i x_i - p^{k_i-1} x_{i-1}$$

$$y_0 = 0$$

$$y_1 = 1$$

$$y_{i+1} = b_i y_i - p^{k_i-1} y_{i-1}$$

# Continued Fractions in $\mathbb{Q}_p$

## Recurrence

- ▶ Suppose  $\xi_0 = x = \sqrt{c}$  for some  $c \in \mathbb{Z}[\zeta_{p-1}] \cap (\mathbb{Z}_p^\times)^2$ . We describe the sequence of partial remainders in the following form:

$$\xi_n = b_n - \frac{p^{k_n}}{\xi_{n+1}} = \frac{P_n + \sqrt{c}}{Q_n}.$$

- ▶  $P_n, Q_n$  satisfy the recurrence:

$$\begin{aligned}P_{n+1} &= b_n Q_n - P_n \\ Q_{n+1} &= \frac{P_{n+1}^2 - c}{p^{k_n} Q_n}\end{aligned}$$

- ▶  $P_n, Q_n \in \mathbb{Z}[\zeta_{p-1}]_f$
- ▶  $Q_n | P_n^2 - c$

# Continued Fractions in $\mathbb{Q}_p$

## Finiteness

- ▶ Let  $A \subseteq \mathbb{Z}$  and such that  $\forall n \in A : |n| < p$ ; let  $x = [a_0, a_1, \dots]_{p,A} \in \mathbb{Z}_p^\times$  and suppose that the expression of  $x$  is not periodic with period  $\overline{(p-1)}, \overline{(1-p)}$ . Then  $[a_0, a_1, \dots]_{p,A}$  is finite iff  $x \in \mathbb{Q}$ .
- ▶ Corollary: the standard expression of  $x \in \mathbb{Z}_2^\times$  or  $x \in \mathbb{Z}_3^\times$  is finite iff  $x \in \mathbb{Q}$ .
- ▶ In the case  $\phi(x) = x$ , if  $A$  is as before, the elements of  $\mathbb{Z}$  always have finite Nested Automorphisms expression or a periodic one with period  $\overline{(p-1)}$  or  $\overline{(1-p)}$ .
- ▶ An analogue theorem for Ruban CFs holds.

# Continued Fractions in $\mathbb{Q}_p$

## Finiteness

- ▶ Let  $\alpha, n \in \mathbb{Z}$  s.t.  $(n, \alpha) = 1$ . If  $\frac{\alpha}{n} = [a_0, \dots, a_h]_{p,A}$ , then  $\exists! m \in \mathbb{Z}$  s.t.  $(m, \alpha) = 1$  and  $\frac{\alpha}{m} = [a_h, \dots, a_0]_{p,A}$ .
- ▶ Corollary: the set of finite continued fractions representing the reciprocals of nonzero rational integers is closed for the mapping  $[a_0, \dots, a_h]_{p,A} \rightarrow [a_h, \dots, a_0]_{p,A}$ .
- ▶ A finite standard continued fraction represents an element of  $\mathbb{Q}$  if and only if the digits involved in the expression are just  $0, 1, -1$ .
- ▶ Corollary: a rational integer (invertible modulo  $p$ ) has a finite standard continued fraction iff it's of the form  $\pm 1 - np^k$  where  $k \in \mathbb{N} \setminus \{0\}$ ,  $n \in \mathbb{Z} \setminus \{0\}$  and  $1/n$  has a finite standard continued fraction.

# Continued Fractions in $\mathbb{Q}_p$

## Periodicity

- ▶ A periodic continued fraction is associated to an element of  $\mathbb{Q}(A)$  or to an element algebraic of degree 2 over this field.
- ▶ Let  $x = [\overline{a_0, \dots, a_h}] \in \mathbb{Z}_p^\times$ , and let:

$$\widehat{a}_0 \cdots \widehat{a}_h = \begin{pmatrix} \lambda & -p\mu \\ \nu & -p\xi \end{pmatrix}$$

then in this case  $x$  satisfies the equation:

$$x = \frac{\lambda x - p\mu}{\nu x - p\xi} \Rightarrow \nu x^2 - (\lambda + p\xi)x + \mu p = 0.$$

- ▶ An element  $x \in \mathbb{Z}_p^\times \setminus \mathbb{Q}[\zeta_{p-1}]$  can have purely periodic standard continued fraction only when it is of the form  $x = \frac{P + \sqrt{c}}{Q}$  with  $P, Q, c \in \mathbb{Z}[\zeta_{p-1}]$  and  $c$  is congruent to a  $\frac{\varphi(q)}{2}$ -th root of unity modulo  $p$ .

# Continued Fractions in $\mathbb{Q}_p$

## Periodicity

- ▶ Let  $x = [\overline{a_0, \dots, a_h}]$  be a purely periodic continued fraction, let  $\tilde{x}$  be the other root of the associated quadratic equation. We suppose  $a_0 \neq 0$ . Then we have:

$$\tilde{x} = \frac{p}{[a_h, \dots, a_0]} = a_0 - [\overline{a_0, a_h, a_{h-1}, \dots, a_1}]$$

- ▶ Using this fact we can find solutions to the equation  $a^2 - db^2 = \omega p^{k+1}$ .
- ▶ For  $p > 2$  a rational number  $r \in \mathbb{Q} \cap \mathbb{Z}_p^\times$  cannot have an infinite purely periodic standard expression.

# Continued Fractions in $\mathbb{Q}_p$

## Periodicity

- ▶ Suppose that  $p > 3$  factors in  $\mathbb{Z}[A]$  as  $p = \alpha\alpha^*$  (also as an ideal); then a finite sequence  $a_1, \dots, a_h$  is the period of the continued fraction of some  $x \in \mathbb{Z}[A]$  if the trace of the matrix  $M = \hat{a}_1 \cdots \hat{a}_h$  equals  $2\Re(\alpha^h \zeta)$  for some  $(p-1)$ th root of 1  $\zeta$ .
- ▶ **Peculiar Periods:**
  - ▶ Palindrome Periods
  - ▶ Hermitian Periods
  - ▶ Antihermitian Periods
  - ▶ Wavelike Periods
  - ▶ Regular Periods

# Continued Fractions in $\mathbb{Q}_p$

## Open questions

- ▶ Periodicity and regularity of periods for square roots of rational integers.
- ▶ Periodicity of the factors of  $p = \alpha\alpha^*$ .
- ▶ Finding continued fractions like  $1 = [1]_5$ ,  $-4 = [1, 1]_5$ ,  $-279 = [1, 1, 1, 1, 1, 1, 1]_5$ .



# Continued Fractions in $\mathbb{Q}_p$

Examples: finite CFs

- ▶ Let  $\lambda_n$  be the sequence  $1, 0, \dots, 0$  containing exactly  $n - 1$  zeroes separated by commas.
- ▶ Let  $p > 2$ . Let  $x_n = \frac{1-p^n}{1-p}$ . We have:  $x_1 = [1]_p$ ,  $x_2 = [1, -1]_p$ ,  $x_{n+2} = [1, -1, -\lambda_n, x_n]_p$ .
- ▶ Let  $p > 2$ . Let  $\alpha_{hk} = \frac{1-p^h}{1-p^k}$  ( $h, k \in \mathbb{N} \setminus \{0\}$ ), and let  $d = |h - k|$ . We have:  $\alpha_{hk} = [1]_p$  if  $h = k$ ,  $\alpha_{hk} = [\lambda_k, -\alpha_{kd}]_p$  if  $h > k$ ,  $\alpha_{hk} = [\lambda_h, \alpha_{kd}]_p$  if  $h < k$ .
- ▶ Let  $x_n = 2^n - 1$ . We have:  $x_1 = [1]_2$ ,  $x_{n+1} = [1, \lambda_n, x_n]_2$ .
- ▶ Let  $y_n = \frac{1}{2^n - 1}$ ,  $n > 1$ . We have:  $y_{n+1} = [1, \lambda_n, \lambda_n, 1]_2$ .

## Continued Fractions in $\mathbb{Q}_p$

Examples: periodic CFs

- ▶  $i \in \mathbb{Z}_5$  will be the square root of  $-1$  s.t.  $2 - i \in 5\mathbb{Z}_5$ .
- ▶  $\omega \in \mathbb{Z}_7$  will be the cubic root of  $-1$  s.t.  $3 - \omega \in 7\mathbb{Z}_7$ .
- ▶ Rational integers whose standard continued fraction is periodic (with regular period) but not finite:
  - ▶  $2 = [i, \overline{1, -i, -1, -1, i, 1}]_5$
  - ▶  $10 \pm 1 = [\pm 1, i, -1, \overline{0, -1, 1, -1}]_5$  (analogously for  $50 \pm 1$ ,  $250 \pm 1$ , etc.)
  - ▶  $2 = [\omega^2, \overline{\omega^2, -\omega}]_7$
  - ▶  $3 = [\omega, \overline{\omega^2, -\omega}]_7$
- ▶ Let  $A$  be any set of residues. Let  $p > 2$  and let us suppose  $(p-1), (1-p) \in A$ . Then  $-1 = \left[ \overline{(p-1), (1-p)} \right]_{p,A}$ .

# Continued Fractions in $\mathbb{Q}_p$

Examples: periodic CFs

- ▶ Square roots of rational integers whose standard continued fraction for  $p = 2$  is periodic with hermitian (that is, palindrome) period:

- ▶  $\sqrt{-7} = [1, 1, 1, \overline{1, 0, 1}]_2$

- ▶  $\sqrt{17} = [1, 0, 0, 1, 1, \overline{1, 1, 0, 1, 0, 1, 1}]_2$

- ▶ Square roots of rational integers whose standard continued fraction is periodic with regular period:

- ▶  $\sqrt{7} = [1, \overline{-1, -1, 1, 1, 1, -1}]_3$

- ▶  $\sqrt{13} = [1, 1, 1, \overline{1, 1, -1, 0, 1, -1, -1, -1, -1, 1, 0, -1, 1, 1}]_3$

- ▶  $\sqrt{19} = [1, \overline{0, -1, 0, -1, -1, -1, 1, -1, 1, 1, 1, 0, 1, 0, 1, 1, 1, -1, 1, -1, -1, -1}]_3$

- ▶  $\sqrt{-4} = [1, i, \overline{-1, -i}]_5$

- ▶  $\sqrt{-3} = [-\omega^2, \overline{1}]_7$

# Continued Fractions in $\mathbb{Q}_p$

Examples: periodic CFs - non periodic CFs

▶ Purely periodic continued fractions:

▶  $\frac{i+\sqrt{-21}}{2} = [\bar{i}]_5$

▶  $\frac{1+\sqrt{1+20i}}{2} = [\bar{1}, \bar{i}]_5$

▶  $\frac{\omega+\sqrt{-28+\omega^2}}{2} = [\bar{\omega}]_7$

▶ Non-periodic continued fractions:

▶  $\sqrt{1-i} = [i, -1, -1, 0, -1, -i, i, 1, -1, i, 1, 1, i, -1, 0, 1, i, 0, i, -i, -i, i, 0, \dots]_5$

# Continued Fractions in DVFs

## Definition

- ▶ Let  $\mathbb{K}$  be a DVF (Discrete Valuation Field), i.e. the quotient field of a discrete valuation ring.
- ▶ Let  $\pi$  be a chosen element of valuation 1
- ▶ Let  $A$  be a suitable set of representatives modulo  $\pi$  (in the case of function fields we choose the whole base field).
- ▶ Given  $x \in \mathbb{K}$ , there exist unique  $k \in \mathbb{Z}$ ,  $x_j \in A$  ( $x_k \neq 0$ ) such that:  $x = \sum_{j=k}^{\infty} x_j \pi^j$
- ▶ We define the **integral part** of  $x$  as:  $[x] = \sum_{j=k}^0 x_j \pi^j$
- ▶ We write the **continued fraction**:  
$$x = [x] + \frac{1}{y} \rightarrow x = [[x], y]$$

# Continued Fractions in DVFs

## Function Fields

- ▶ Let  $\mathbb{K} = k((x))$ ,  $y = x^{-1}$  so that  $k[y] \subseteq k((x))$  is the polynomial ring of integral parts. We suppose that  $\text{char } k$  is not 2. The results given here hold for any  $k$ , not necessarily finite.
- ▶ Let  $A, B \in k[y]$ . Then  $\frac{A}{B} = Q + \frac{R}{B} = [Q, B/R]$  for some  $Q, R \in k[y]$ . By  $v(R/B) > 0$  follows  $\deg(R) < \deg(B)$ , so this is the polynomial euclidean algorithm.
- ▶ To every polynomial  $a \in k[y]$  one associates a matrix  $\hat{a} \in k[y]^{2 \times 2}$  of determinant  $-1$  so that, considering such matrices as automorphisms of  $\mathbb{P}^1(\mathbb{K}) \supset \mathbb{K}$ , one has  $\hat{a}_0[a_1, a_2, \dots] = [a_0, a_1, a_2, \dots]$ .

# Continued Fractions in DVFs

## Function Fields

- ▶ A pseudoperiodic continued fraction always represents an element quadratic over  $k(y)$ .
- ▶ Suppose  $k$  is finite. Then  $x \in \mathbb{K}$  has periodic continued fraction if and only if it is quadratic over  $k(y)$ .
- ▶ Let  $D \in k[y]$ . Then  $\sqrt{D}$  either has a periodic continued fraction of the form  $[a_0, \overline{a_1, a_2, \dots, a_2, a_1, 2a_0}]$  or is non-periodic. Again, we get a link with the **functional Pell's equation**  $A^2 - B^2 D = 1$ .
- ▶ **Ruban's** CFs are obtained exactly in this way.

# Continued Fractions in DVFs

## Function Fields

- ▶ With notations as before, the following statements are equivalent:
  1.  $\sqrt{D}$  has periodic continued fraction
  2.  $\exists A, B \in k[y]$  s.t.  $A^2 - B^2D \in k$
  3.  $\infty^+ - \infty^-$  (the difference of the two points at infinity) is a torsion divisor on the related hyperelliptic curve  $y^2 = D(x)$
  4. Setting  $C = A'/B$ , there is an integral of the form:

$$\int \frac{Cdy}{\sqrt{D}} = \log(A + B\sqrt{D}) + \text{const.}$$



## Summary of accomplished results

- ▶ Real continued fractions: classical results to be generalized and a simple application.
- ▶ Structure of  $\mathbb{Z}_p^\times$ , definition of integral part as a cyclotomic representative.
- ▶ Algebraic integers in quadratic extensions of cyclotomic fields: some lemmas, characterization theorem, simple applications (examples).
- ▶ Expression of  $p$ -adic integers: nested automorphisms (special case: power series expansion) and related algorithms.

## Summary of accomplished results

- ▶ Other expressions: definition of continued exponentials; [cyclotomic] approximation lattices, relation with continued fractions and analogue of Lagrange's theorem.
- ▶ Continued fractions in  $\mathbb{Q}_p$  and Schneider's definition as special cases of nested automorphisms.
- ▶ Continued fractions in  $\mathbb{Q}_p$ : definition of  $k$ -matrices, recurrences definitions and results, finiteness results, periodicity results, open questions and examples.
- ▶ Review of continued fractions in discrete valuation fields (special case: Ruban's definition) and in function fields.

Thanks - the exposition is over